

# Fortune Financial Services, Inc.

## Annual Compliance Meeting Reminder

Dear Representatives:

- Only one Annual Compliance Meeting is required per year per NASD. Below are instructions on how to register for a meeting.
- You will receive an email that will state the below information. At that point, follow the instructions to register for a meeting.
- If you need to sign up for a meeting or have further questions, please email Vicki Barr at [vicki@fortunefinancialservices.com](mailto:vicki@fortunefinancialservices.com).

Fortune Financial Services announces the launch of this year's Annual Compliance Meeting (ACM) Program. As you know, this is required annually by NASD regulations. This year, we have selected RegEd, Inc. to facilitate this requirement via the Internet and teleconference. You must register for a meeting by \_\_\_\_\_. Registration closes 2 hours prior to a scheduled meeting.

**\*\*The next available meeting is on \_\_\_\_\_. The meeting capacity fills up quickly so you are encouraged to register as soon as possible.\*\***

Please follow the steps below to access your RegEd profile and register for a meeting:

1. Go to <http://www.fortunefinancialservices.com/> and click on “Reps Click Here for 2006 Annual Compliance Meeting – Reged”
2. Log into your Reged Annual Compliance Meeting account using the following information:
  - Account: email address
  - Password: last name
  - Company Code: FFSC

3. Click **Proceed** at the bottom of the first page after you verify that your email address is correct.

*-It is required to enter your valid email address on file with Fortune Financial as this is the point of contact for important notification regarding your CE and Firm Element requirements.*

4. You will be taken to the welcome page. Click the **Annual Compliance Meeting** button on the left side of the page.
5. Click on the **Proceed** button at the bottom of the page.

## Annual Compliance Meeting Reminder (Continued)

6. Click the link for the meeting you would like to attend, noting the date and time.

***Important:*** You will be prompted to enter your valid email address and business phone number on file with Fortune Financial. This information is used to send email notifications confirming and reminding registration, and also the point of contact to convey completion status upon meeting conclusion.

7. If prompted, follow the instructions to download and install the RegEd Client application, software that is required to view and attend an ACM\*.

Upon successful installation of the RegEd Client, you will be taken to a page indicating that you can successfully connect to a show.

\*If you encounter errors or problems during the download and installation of the RegEd Client, you may not have the appropriate level of network access that is required to download and install software applications. Please contact your IT Department or Help Desk for assistance.

**After registering for a meeting, you will receive an email confirmation of your registration for the chosen meeting. Please pay close attention to the instructions contained in future emails.**

**There will be polling questions throughout the Annual Compliance Meeting to account for your attendance during the entire presentation. It is important to allot one (1) hour of your full attention to get credit for an Annual Compliance Meeting.**

**If you have questions regarding the Annual Compliance Meeting, please contact your Compliance department. If you experience technical difficulties logging into RegEd or registering for a meeting, please contact RegEd customer service at 800-334-8322.**