

FORTUNE FINANCIAL

Customer Identification Program Notice

This is the NASD Customer Identification Program Notice.

Give a copy of this notice to every client. We usually do this in conjunction with the New Account/Suitability Form. This explains why we need to ask for drivers license numbers etc.

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Customer Identification Program Notice

Important information you need to know about opening a New Account.

To help the government fight the funding of terrorism and money laundering activities, federal law requires financial institutions to obtain, verify, and record information that identifies each person who opens an account.

This Notice answers some questions about your firm's Customer Identification Program.

What types of information will I need to provide?

When you open an account, your firm is required to collect information such as the following from you:

- Your name
- Date of birth
- Address
- Identification number:
 - U.S. Citizen: taxpayer identification number (social security number or employer identification number).
 - Non-U.S. Citizen: taxpayer identification number, passport number, and country of issuance, alien identification card number, or government-issued identification showing nationality, residence, and a photograph of you.
- You may also have to show your driver's license or other identifying documents.

